



कार्यालय, मुख्य अभियन्ता
ऊर्जा क्रय अनुबन्ध निदेशालय
उ0प्र0 पावर कारपोरेशन लिमिटेड
14वाँ तल, शक्ति भवन विस्तार, लखनऊ-226001
ई-मेल: ceppaa2019@gmail.com
CIN: U32201UP1999SGC024928

असं. 820 / आर0ए0यू0
प्रावली 03/09/2022

संख्या :- 1365 / मु0अभि0 / पी0पी0ए0 /

दिनांक:- 31 अगस्त / 2022

निदेशक (वाणिज्य)
7 वां तल, शक्ति भवन,
उ0प्र0 पावर कारपोरेशन लिमिटेड,
लखनऊ।

विषय:- उ0प्र0 विद्युत नियामक आयोग द्वारा निर्गत टैरिफ आदेश वर्ष 2022-23 दिनांक 20.07.2022 में निर्गत डायरेक्टिव्स के संबंध में।

कृपया अपने कार्यालय पत्रांक 362/आर0ए0यू0/डायरेक्टिव्स दिनांक 23.08.2022 का संदर्भ ग्रहण करें। उक्त पत्र की तालिका में क्रम सं0-11.3.18 द्वारा वाँछित सूचनाएं संलग्न कर आपको आवश्यक कार्यवाही हेतु प्रेषित की जा रही है।

SE (RAU)

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(अमित कुमार श्रीवास्तव)
निदेशक (वाणिज्य)
उ0प्र0पावरकारपोरेशन

EE-II / AE-I
LRO
5/9/2022
EE-II

(दीपक रायजादा)
मुख्य अभियन्ता(पीपीए)

AE-I
H
05-09-22

EEs/r

may refer to instruction to file.

File directive
05/09/2022

SPM
05-09-22
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4638/DC/PA/22
03/09/22



उत्तर प्रदेश पावर कारपोरेशन लिमिटेड

U.P. POWER CORPORATION LIMITED

(Govt. of Uttar Pradesh Undertaking)
CIN: U32201UP19945GC02492B

Regulatory Affairs Unit
15th Floor, Shakti Bhawan Extension,
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Phone: (0522) 2286519
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रगुलेटरी अफेयर्स इकाई
15वाँ तल, शक्ति भवन विस्तार,
14-अशोक मार्ग, लखनऊ 226001
दूरभाष : (0522) 2286519
ईमेल : arttariffuppcl@gmail.com
फैक्स : (0522) 2287860
दिनांक : 23 अगस्त, 2022

पत्र संख्या : /आर0ए0यू0/जायरेविट्ट

NO. 22416.Dr.(CP)/Campor.831/22

मुख्य अभियन्ता (पी0पी0ए0),
उ0प्र0 पावर कारपोरेशन लि0,
14वां तल, शक्ति भवन विस्तार,
लखनऊ।

विषय: उ0प्र0 विद्युत नियामक आयोग द्वारा निर्गत टैरिफ आदेश वर्ष 2022-23 दिनांक 20.07.2022 में निर्गत जायरेविट्टा के संबंध में।

कृपया अवगत कराना है कि उ0प्र0 विद्युत नियामक आयोग द्वारा टैरिफ आदेश वर्ष 2022-23 दिनांक 20.07.2022 में ऊर्जा क्रय से सम्बंधित निम्नलिखित जायरेविट्टा निर्गत किये गये हैं :-

DIRECTIVES ISSUED UNDER PRESENT TARIFF ORDER

S.No.	Description of Directive
11.3.9.	All procurements made by the Petitioners should be through Competitive Bidding only.
11.3.11.	The Commission directs the Licensees to submit the MOD stack in accordance with MOD Regulation 2021.
11.3.16.	The Commission has noted that the Licensees have successfully completed a pilot implementation of peer to peer (P2P) trading of electricity in rooftop solar energy using Blockchain technology. The Commission directs the Licensees to take the pilot forward to its next phase for integrating it with the existing billing system (ERP) financial settlement etc. so that P2P rooftop solar energy may become operational for the prosumers and consumers of the State.
11.3.17.	The Commission encourages the Petitioners to implement a few projects of battery storage. The Licensees are directed to seek innovative solutions based on energy storage systems, other innovative technologies to reduce the system losses, provide better services to the consumers etc.
✓ 11.3.18.	Provide the details of all the pending cases filed by Petitioners against the Commission in various forums along with the status of the same.
✓ 11.3.30.	Submit the PPA's, Commission's approval and bills of each source from which power is procured, along with the True-up/ARR petition.
CE (PPA) 11.3.38.	The Petitioners are directed to provide the details of approval of power purchase sources in the annexed format (Annexure - II).

(Kamlesh Bahadur Singh)
Director (Corporate Planning)

1365
04.08.22
Au. Sec. SE-II

कमरा.....2

(2)

अतएव आपसे अनुरोध है कि कृपया उपरोक्त डायरेक्टिवा पर वांछित कार्यवाही कर कृत कार्यवाही से इकाई को अवगत कराने का कष्ट करें, जिससे आगामी ए0आर0आर0 याचिका में इसे सम्मिलित किया जा सके

(अमित कुमार श्रीवास्तव)
निदेशक (वाणिज्य)

पत्रांक : 362 /आर0ए0यू0/डायरेक्टिव तददिनांक

प्रतिलिपि: निम्नलिखित को सूचनार्थ प्रेषित :-

1. प्रबन्ध निदेशक, उ0प्र0 पावर कारपोरेशन लि0, शक्ति भवन, लखनऊ।
- ✓ 2. निदेशक (कारपोरेट प्लानिंग), उ0प्र0 पावर कारपोरेशन लि0, शक्ति भवन, लखनऊ।

14
(अमित कुमार श्रीवास्तव)
निदेशक (वाणिज्य)

S. No.	Petition/ Appeal No. (Date)	Parties (Petitioner Vs Respondents)	Matter	Previous/ Next Date of Hearing	Description	Remark
APTEL						
1	Appeal No. 114/2022 IA 450/2021 IA 451/2022 (urgent listing)	(Mr. Amit Kapoor, JSA, Mr. Sajjan Koyavia) vs 1. UPERC 2. UPPCL (Buddy Ranganadhan & M/s SAM & Co.)	Appeal filed by M/s LPGCL against UPERC order dated 10.03.2022 in petition no. 1431/2019 and two debit note dated 24.03.2022 (Total amount Rs 2579 Crs) issued by PCL in pursuance to UPERC order dated 10.03.2022	29.07.2022 16.09.2022	Vide order dated 01.04.2022 APTEL directed that two debit notes dated 24.03.2022 (Total amount Rs 2579 Crs) issued by UPPCL pursuant to impugned order shall be kept in abeyance till the decision is taken on application on interim relief.	In progress
SUPREME COURT						
1	CIVIL APPEAL NO.5262-64/2019 (07.06.2019 & 26.06.2019)	Rama Shankar Awasthi (Nikhil Dayal) Vs 1. Lanco (Sakya Singha Chaudhari) 2. UPPCL (P/S Narasimha with M/s Triegan) 3. UPERC (C.K. Rai/Sachin Dubey)	Civil Appeal Against the impugned common Final Judgment and Order dated 07.09.2018 (in Appeal No. 336 of 2017 and Appeal No. 359 of 2017) UPERC vide order did 16.08.2017 allowed LANCO Anpara Rs 499.58 Crs compensation for recovery of the past losses (from COD to the date of notice of termination i.e. 11th Feb 2013) & 0.155 Rs/Kwh (compensation in terms of Additional Tariff from 12th Feb 2013 onwards)	27.11.2019/ Date not scheduled yet.	Reply of PCL filed before Supreme Court.	Pending
2	Civil Appeal 4033/2020	(M/s H.S.A. & Mr. Parag Tripathi) vs 1. Apitel 2. LPGCL	UPPCL filed Civil Appeal against Apitel order dated 28.09.2020 in deemed availability matter for FY 2017-18 (APTEL vide order dated 28.09.2020 set aside UPERC order dated 28.05.2019 and allowed loss in availability by lowering AV% from Normative% to Actual AV% (54.17%). Apitel directed UPERC to issue consequential order within 03 months.)	05.01.2021/ Not scheduled yet	Supreme Court vide order dated 05.01.2021 stayed Apitel Order dated 28.09.2020 on request of PCL (Communicated to UPERC vide letter dated 08.01.2020). Counter & rejoinder Submitted. Financial impact covered at S.No. 6 of UPERC cases.	Pending
3	Civil Appeal 08/2021 IA 1222875/2021	(M/s H.S.A. & Mr. Parag Tripathi) vs 1. Apitel 2. LPGCL	UPPCL filed Civil Appeal against Apitel order dated 13.11.2020 in FGD matter. (Apitel vide order dated 13.11.2020 allowed imprincipal approval of expenditure on installation of various Emission Control system for compliance with revised emission norms for TFS.)	06.05.2022/ Not scheduled yet	Supreme court vide order did 29.01.2021 stayed APTEL order did 13.11.2020. Counter Affidavit filed by LPGCL. RPSCL also filed IA for implementation in this case before S.C. Counter and rejoinder filed.	Pending

S. No.	Petition/ Appeal No. (Date)	Parties (Petitioner Vs Respondents)	Matter	Previous/ Next Date of Hearing	Description	Remark
APTEL						
1	Appeal No. 114/2022 IA 450/2021 IA 451/2022 (urgent listing)	LPGCL (Mr. Anil Kapoor, JSA, Mr. Sajjan Poyyiah) vs 1. UPERC 2. UPPCL (Buddy Ranganathan & M/s SAMI & Co.)	Appeal filed by M/s LPGCL against UPERC order dated 10.03.2022 in petition no. 1431/2019 and two debit note dated 24.03.2022 (Total amount Rs 2579 Crs) issued by PCL in pursuance to UPERC order dated 10.03.2022	29.07.2022 /16.09.2022	Vide order dated 01.04.2022 APTEL directed that two debit notes dated 24.03.2022 (Total amount Rs 2579 Crs) issued by UPPCL pursuant to impugned order shall be kept in abeyance till the decision is taken on application on interim relief.	In progress
SUPREME COURT						
1	CIVIL APPEAL NO.5262-64/2019 (07/06/2019 & 26/06/2019)	Rama Shankar Awasthi (Nikunj Dayal) Vs 1. Lanco (Sakya Singha Chauhan) 2. UPPCL (P.S Narasimha with M/s Trilegal) 3. UPERC (C.K. Rai, Sachin Dubey)	Civil Appeal Against the impugned common Final Judgment and Order dated 07.09.2018 (in Appeal No. 336 of 2017 and Appeal No. 359 of 2017) UPERC vide order did 16.08.2017 allowed Lanco Anpara Rs 499.58 Crs compensation for recovery of the past losses (from COD to the date of notice of termination i.e. 11th Feb 2013) & 0.155 Rs/Kwh (compensation in terms of Additional Tariff from 12th Feb 2013 onwards)	27.11.2019/ Date not scheduled yet.	Reply of PCL filed before Supreme Court.	Pending
2	Civil Appeal 4033/2020	UPPCL (M/s H.S.A. & Mr. Parag Tripathi) vs 1. Apnel 2. LPGCL.	UPPCL filed Civil Appeal against Apnel order dated 28.09.2020 in deemed availability matter for FY 2017-18 (APTEL vide order dated 28.09.2020 set aside UPERC order dated 28.05.2019 and allowed loss in availability by lowering AV% from Normative% to Actual AV% (54.17%). Apnel directed UPERC to issue consequential order within 03 months.)	05.01.2021/ Not scheduled yet	Supreme Court vide order dated 05.01.2021 stayed Apnel Order dated 28.09.2020 on request of PCL (Communicated to UPERC vide letter dated 08.01.2020). Counter & Rejoinder Submitted. Financial impact covered at S.No. 6 of UPERC cases.	Pending
3	Civil Appeal 082021 IA 1222875/202 1	UPPCL (M/s H.S.A. & Mr. Parag Tripathi) vs 1. Apnel 2. LPGCL	UPPCL filed Civil Appeal against Apnel order dated 13.11.2020 in FGD matter (Apnel vide order dated 13.11.2020 allowed imprinicipal approval of expenditure on installation of various Emission Control system for compliance with revised emission norms for TPS.)	06.05.2022/ Not scheduled yet	Supreme court vide order did 29.01.2021 stayed APTEL order did 13.11.2020. Counter Affidavit filed by LPGCL. RPSCCL also filed IA for implementation in this case before S.C. Counter and rejoinder filed.	Pending

Power Purchase Agreement Directorate
14th Floor, Shakti Bhawan Extn., Lucknow
(Running Court Cases)

S. No.	Petition/ Appeal No. (Date)	Parties (Petitioner Vs Respondents)	Matter	Previous/ Next Date of Hearing	Remarks
II. APTEL					
1	Appeal No. 22/2018 (11.05.2018)	UPPCL (M/s H.S.A.) Vs. RPSCCL (Mr. Venkatesh)	UPPCL filed appeal against UPERC order dt. 22.08.2017	08.03.2022 /Yet to be scheduled.	<p>UPPCL has requested to revise the specific fuel oil consumption for Stage I for year 2009-14 from 2 ml/kwh to 1 ml/kwh</p> <p>To conduct prudence check in respect to the contract award procedure afresh to ensure that contract was awarded at arm's length price.</p> <p>To conduct prudence check on efficient utilization of funds, withdrawn from long term loan, invested in purchase of mutual funds.</p> <p>To determine net undischarged liability of stage 2 for year 2012-13 and 2013-14 by disallowing deduction on account of capital advances.</p> <p>To determine capital cost for stage I (Unit 1) after deducting undischarged liability of Stage I (Unit 1) for 2009-10.</p> <p>To determine capital cost for stage II (unit 3) after deducting undischarged liability of Stage II (Unit 3) for 2011-12.</p> <p>Case will be heard after final decision in Appeal No. 278 of 2018 in ApTEL</p> <p>These appeals are already included in the "List of Finals" and shall be taken up in their turn. (in progress)</p>

Power Purchase Agreement Directorate
14th Floor, Shakti Bhawan Extn., Lucknow
(Running Court Cases)

S. No.	Petition/ Appeal No. (Date)	Parties (Petitioner Vs Respondents)	Matter	Previous/ Next Date of Hearing	Remarks
2	Appeal No. 357/2017 (03.10.2017)	RPSCCL (Mr. Venkatesh) Vs. UPPCL (M/s H.S.A. & Madhu Gupta, CA)	RPSCCL filed appeal against UPERC order dt.22.08.2017 for approval of capital cost & MYT.	08.03.2022 /Yet to be scheduled.	RPSCCL has requested to set aside the impugned order dated 22.08.2017 in terms of the grounds raised. Inadequate consideration of Additional capital expenditure. Interest on working capital Claim for increased cost of interest on working capital (IWC) due to higher actual coal cost than that was provided at the time of bidding on account of the deviation from the coal related bid condition. Undischarged liability (UPL) Application of incorrect principal in considering coal cost for computing interest on working capital in the MYT period 2014-19. Case will be heard after final decision in Appeal No. 278 of 2018 in Aplet. These appeals are already included in the "List of Finals" and shall be taken up in their turn. (in progress)
3	Appeal No. 278/2018 (28.09.2018)	RPSCCL (Mr. Venkatesh, Mr. B. Rangnathan) Vs. UPPCL (M/s H.S.A.)	RPSCCL filed appeal against UPERC order dt. 26.09.2018 about recovery of income tax.	11.07.2022 /29.09.2022	RPSCCL has said that Impugned order is abuse of Power by the respondent commission and fails to return finding on the controversy raised. Bill statement and letter dated 09.04.2018 being Illegal and violative of the ETHOS for the contract. and to reimbursement of entire Tax on Income by UPPCL. Order reserved. IA for early hearing is being filed by UPPCL. (pleading completed)
4	Appeal No. 89/2020 (24.02.2020)	RPSCCL (Mr. Venkatesh) Vs. UPPCL (M/s Trilegal)	RPSCCL filed appeal against UPERC order dt.16.01.2020 (regarding FGD in petition 1465/2019	26.07.2022 /30.08.2022	RPSCCL seeking in-principle approval for the expenditure to be incurred towards the Change in Law events and Declare MOEF&CC Notification dated 07.12.2015 as Change in Law in terms of PPA dated 12.11.2006 as well as in terms of the UPERC Tariff Regulations, 2019 (in progress)

Power Purchase Agreement Directorate
14th Floor, Shakti Bhawan Extn., Lucknow
(Running Court Cases)

S. No.	Petition/Appeal No. (Date)	Parties (Petitioner Vs Respondents)	Matter	Previous/Next Date of Hearing	Remarks
5	Appeal No. 360/2017 (13.07.2017)	Mr. Rama Shanker Awasthi (Ms. Ranjitha Ramachandran Ms. Anushree Bardhan) Vs. Bajaj Energy Private Limited & Ors.	R.S Awasthi filed appeal against UPERC order dt 24.05.2017 for approval of Capital Cost & MYT(BEL)	22.07.2022 /09.09.2022	Rama Shanker Awasthi has claimed that BEPL was withholding/concealing the relevant data had been commented upon, ought not to have proceeded to approve the tariff and ought to have rejected the PPA without determination of tariff in terms of Section 86 (1)(b) of the Electricity Act, 2003. (In Progress)
6	DFR No. 329 of 2021/2021 (14.09.2021)	UPPCL (M/s Trilegal) Vs RPSCCL (Mr. Venkatesh)	Review Petition against UPERC order dt. 04.02.2020 in Petition no 1233/2017	<u>13.05.2022/</u> Not scheduled	Regarding Additional Capital Cost for revision of tariff for control period 2014-19 (towards Additional Wagon Tippler and associated facilities). Pleadings are complete in this matter. Admit this appeal shall be included in the 'List of Finals' to be taken up for hearing in its turn from there.
7	DFR No. 307 of 2021/2021 (23.08.2021)	UPPCL (M/s Trilegal) Vs RPSCCL (Mr. Venkatesh)	Seeking recovery of interest on working capital claimed by the Respondent against the interest free loan earned from the Petitioner on account of deferred tax liability benefits under the Uttar Pradesh Power Policy, 2003 for the period from 2010-11 to 2018-19.	<u>19.05.2022</u> /Yet not Schedule.	Filed against UPERC order dated 08.03.2021 in petition 1525/2019 to direct and hold that RPSCCL was not entitled to recover any interest in form of interest on working capital from UPPCL towards the interest free loan amount recovered in form of Entry Tax and VAT. Pleadings are complete in the matter. We direct this appeal be included in the "List of Finals" to be taken up from there, in its turn.

Power Purchase Agreement Directorate
14th Floor, Shakti Bhawan Extn., Lucknow
(Running Court Cases)

S. No.	Petition/ Appeal No. (Date)	Parties (Petitioner Vs Respondents)	Matter	Previous/ Next Date of Hearing	Remarks
8	Appeal No. 107/2020, DFR 171/2020 (21.05.2020)	RPPSCL (Mr. Venkatesh) Vs. UPPCL (M/s Trilegal)	Appeal against UPERC order dated 04.05.2020 in petition no. 1437/2019 related to Late Payment Surcharge.	04.02.2022 /Yet to be scheduled	RPPSCL filed appeal to set aside Impugned Order dated 04.05.2020 passed by UPERC in Petition no. 1437 of 2019 Direct UPPCL to pay LPSC as per the terms of the PPA. Pleadings are complete in this matter. The appeal is already included in the "List of Finals" and shall be taken up from there, in its turn.
III. SUPREME COURT					
1	Appeal NO. 612-621/2020 (13.01.2021)	UPPCL (Mr. Raghvendra Singh & Md. Altaf Mansoor, Goutham Shivshanker, AOR & Tushar Mehta) Vs. BEL	Against APTEL interim order dated 02.12.2019	07.02.2021 /Yet to be scheduled	Grant an interim ex-parte stay of the effect and operation of the Order dated 22.01.2020 passed by the Appellate Tribunal for Electricity New Delhi related to intervening period of BEL. APTEL interim order dated 02.12.2019: Pay 75% of the claimed amount Rs. 206.36 Crs. towards fixed charges for the intervening period 19.07.2017 to 16.01.2018 within the period of 60 days. Notice Issued and Stay Granted by Supreme Court vide order dt 07.02.2020 (in progress)

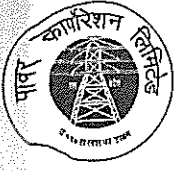
Power Purchase Agreement Directorate
14th Floor, Shakti Bhawan Extn., Lucknow
(Running Court Cases)

S. No.	Petition/ Appeal No. (Date)	Parties (Petitioner Vs Respondents)	Matter	Previous/ Next Date of Hearing	Remarks
2	Appeal No.- 6540-6544/2021 (01.10.2021)	UPPCL (Mr. TUSHAR MEHTA & Md. Altaf Mansoor, Goutham Shivshanker, AOR) Vs. BEL	Against APTEL order dated 06.08.2021	First Hearing Yet to be scheduled.	Admit and allow the Civil Appeal filed under Section 125 of the Electricity Act, 2003 against the Common Order dated 06.08.2021 passed by the Appellate Tribunal . Set aside the Common Order dated 06.08.2021 passed by the Appellate Tribunal for Electricity New Delhi in Appeal No. 43 of 2020, Appeal No. 44 of 2020, Appeal No. 45 of 2020, Appeal No. 46 of 2020 & Appeal No. 47 of 2020 whereby the learned Appellate Tribunal declares that the appellant has no right to repudiate the PPA and therefore, the same is an event of default on the part of the appellant and also the direction to pay fixed charge to the respondent no. 1 for the intervening period between 18.07.2017 to 15.01.2018 along with carrying costs and late payment surcharge. Already Vetted draft by Ld Raghendra Singh as been refiled by AOR after defect removal on 29.10.2021. (in progress)

Pending Court Cases as per S.No. 11.3.18

Sl No	Name of Forum	Petition/ Appeal No.	Petitioner/ Respondent Description	Description of petition	Status
1	APTEL	88/2018	M/s Sukhbir Agro Energy Ltd.. /UPERC & Ors.	Petitioner have requested for the direction to UPPCL to pay the Appellant tariff at the discovered rates of Rs. 8.43kWh, 8.23/kWh and 8.60/kWh for supply of power from its 3 Solar power plants situated in Lalitpur and Mahoba district instead of Rs. 7.02/unit.	Pending
2	APTEL	102/2018	M/s PSPN Synergy Pvt. Ltd. / UPERC & Ors.	Petitioner have requested to adopt the our discovered tariff of Rs. 8.60/kWh instead of Rs. 7.02/unit	Pending
3	APTEL	129/2018	M/s Salasar Green Energy Pvt. Ltd. / UPERC & Ors.	Petitioner have requested to adopt the our discovered tariff of Rs. 8.496/kWh instead of Rs. 7.02/unit.	Pending
4	APTEL	275/2019	M/s Sahasradhara Energy Pvt. Ltd. / UPERC & Ors.	Petitioner have requested for the tariff of Rs. 7.02/kWh instead of its current tariff of Rs. 5.07/kWh	Pending
5	APTEL	302/2018	M/s Lohia Developers (India) Pvt. Ltd. / UPERC & Ors.	Petitioner have requested to adopt the our discovered tariff of Rs. 7.95/kWh instead of Rs. 7.02/kWh.	Pending
6	APTEL	307/2018	M/s Adani Green Energy Ltd. / UPERC & Ors.	Adani prayed set- aside, the impugned order dated 12.02.2018 in P. No. 1110/2016, in so far as the State Commission has wrongly prescribed the tariff of Rs. 5.07/kWh, instead of the discovered tariff under competitive bidding under Section 63 of the Act, i.e. Rs. 8.444/kWh. or may grant the tariff of Rs. 7.02/kWh as in the case of other 9 bidders to maintain parity as per the interim order dated 21.11.2017 in petition no. 1110/2016.	Pending
7	APTEL	IA NO 2034/2021 (Appeal no. 128/2021)	M/s Simbhaoli Power Pvt. Ltd. Unit – Chilwaria Distt. – Baharaich	Appeal under Section 111 of Electricity Act 2003 against order dated 25.01.2021 passed by UPERC in case no 1557/2020	Pending
8	APTEL	IA NO 2025/2021 (Appeal no. 336/2021)	M/s Seksaria Biswan Sugar Factory Ltd.	Appeal under Section 111 of Electricity Act 2003 against order dated 04.10.2021 passed by UPERC in case no 1667/2021	Pending
9	APTEL	IA NO 714/2022 (Appeal no. 185/2021)	M/s Rana Sugar Ltd.	Appeal under Section 111 of Electricity Act 2003 against order dated 15.03.2022 passed by UPERC in case no 1767/2021	Pending
10	APTEL	IA NO 494/2022	M/S Sukhbir Agro Energy Ltd.	Appeal under Section 111 of Electricity Act 2003 against order dated 11.11.2021 passed by UPERC in case no 1669/2021	Pending
11	APTEL	Appeal No.- 155/2020	JPVL/UPPCL	This appeal has been filed by JPVL New Delhi challenging the order dated 22.06.2020 passed by UPERC on petition no. 1464/2019 vide which the commission allowed the tax recovery made by UPPCL Main issue:- Demand recovery made by UPPCL on account of excess recovery of income tax by JPVL New Delhi. The Proposed recovery has been allowed by UPERC.	Pending
12	APTEL	DFR No- 183/2021	JPVL/UPPCL	This appeal has been filed by JPVL New Delhi before APTEL, challenging the Order dated 22.02.2021 passed by UPERC on Petition No. 1376/2018 Main Issue:- JPVL has sought revision of design energy from 1774.42MU to 1704.04MU for whole terms of PPA.	Pending





उत्तर प्रदेश पावर कारपोरेशन लिमिटेड
(उ० प्र० सरकार का उपक्रम)
U.P. POWER CORPORATION LIMITED

(Govt. of Uttar Pradesh Undertaking)
CIN: U32201UP1999SGC024928

Regulatory Affairs Unit
15th Floor, Shakti Bhawan Extension,
14-Ashok Marg, Lucknow 226001.
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रेगुलटरी अफेयर्स इकाई
15वाँ तल, शक्ति भवन विस्तार,
14-अशोक मार्ग, लखनऊ 226001
दूरभाष : (0522) 2286519
Email: arrtariffuppcl@gmail.com
फैक्स : (0522) 2287860
दिनांक : 19 अक्टूबर, 2022

पत्र संख्या : 531/आर०ए०यू०/डायरेक्टिव

मुख्य अभियन्ता (पी०पी०ए०),
उ०प्र० पावर कारपोरेशन लि०,
14वाँ तल, शक्ति भवन विस्तार,
लखनऊ।

अनुस्मारक

विषय: उ०प्र० विद्युत नियामक आयोग द्वारा निर्गत टैरिफ आदेश वर्ष 2022-23 दिनांक 20.07.2022 में निर्गत डायरेक्टिव्स के संबंध में।

कृपया उपरोक्त विषयक इस कार्यालय के पत्रांक 362/आर०ए०यू०/डायरेक्टिव दिनांक 03.08.2022 का संदर्भ ग्रहण करने का कष्ट करें, जिसके माध्यम से उ०प्र० विद्युत नियामक आयोग द्वारा टैरिफ आदेश वर्ष 2022-23 दिनांक 20.07.2022 में निर्गत किये गये वाणिज्य इकाई से सम्बन्धित निम्नलिखित डायरेक्टिव्स पर आवश्यक कार्यवाही करते हुए कृत कार्यवाही से अवगत कराने हेतु निर्देशित किया गया था, जो अभी तक अप्राप्त है।

DIRECTIVES ISSUED UNDER PRESENT TARIFF ORDER

S.No.	Description of Directive
11.3.9.	All procurements made by the Petitioners should be through Competitive Bidding only.
11.3.16.	The Commission has noted that the Licensees have successfully completed a pilot implementation of peer to peer (P2P) trading of electricity in rooftop solar energy using Blockchain technology. The Commission directs the Licensees to take the pilot forward to its next phase for integrating it with the existing billing system (ERP)/ financial settlement etc. so that P2P rooftop solar energy may become operational for the prosumers and consumers of the State.
11.3.17.	The Commission encourages the Petitioners to implement a few projects of battery storage. The Licensees are directed to seek innovative solutions based on energy storage systems, other innovative technologies to reduce the system losses, provide better services to the consumers etc.
11.3.18.	Provide the details of all the pending cases filed by Petitioners against the Commission in various forums along with the status of the same.

अतएव आपसे अनुरोध है कि कृपया उपरोक्त डायरेक्टिव्स पर वांछित कार्यवाही कर कृत कार्यवाही से इस इकाई को अवगत कराने का कष्ट करें, जिससे आगामी ए०आर०आर० याचिका में इसे सम्मिलित किया जा सके।

14
(अमित कुमार श्रीवास्तव)
निदेशक (वाणिज्य)

पत्रांक : /आर०ए०यू०/डायरेक्टिव तददिनांक

प्रतिलिपि: निम्नलिखित को सूचनार्थ प्रेषित :-

1. प्रबन्ध निदेशक, उ०प्र० पावर कारपोरेशन लि०, शक्ति भवन, लखनऊ।
2. निदेशक (कारपोरेट प्लानिंग), उ०प्र० पावर कारपोरेशन लि०, शक्ति भवन, लखनऊ।

(अमित कुमार श्रीवास्तव)
निदेशक (वाणिज्य)



कार्यालय, मुख्य अभियन्ता-आर
ऊर्जा क्रय अनुबन्ध निदेशालय
उ०प्र० पावर कारपोरेशन लिमिटेड
14वाँ तल, शक्ति भवन विस्तार
लखनऊ।

CIN: U32201UP1999SGC024928

संख्या :- 1671/मु०अ०/पी०पी०ए०

दिनांक:- 28/10/2022

निदेशक (वाणिज्य)
उ०प्र० पावर कारपोरेशन लि०,
6 वां तल, शक्ति भवन,
लखनऊ।

विषय:- उ०प्र० विद्युत नियामक आयोग द्वारा निर्गत टैरिफ आदेश वर्ष 2022-23 दिनांक 20.07.2022 में निर्गत डायरेक्टिव्स के सम्बन्ध में।

कृपया उपरोक्त विषयक स्वकार्यालयी पत्र सं० 531/आर०ए०यू०/डायरेक्टिव दिनांक 19.10.2022 का सन्दर्भ ग्रहण करें, जिसके माध्यम से उ०प्र० विद्युत नियामक आयोग द्वारा निर्गत टैरिफ आदेश वर्ष 2022-23 दिनांक 20.07.2022 में निर्गत किये गये वाणिज्य इकाई से सम्बन्धित डायरेक्टिव्स पर आवश्यक कार्यवाही करते हुये कृत कार्यवाही से अवगत कराने हेतु निर्देशित किया गया था।


उक्त के संदर्भ में पी.पी.ए. एवं प्लानिंग इकाई से सम्बन्धित वांछित सूचना निम्नवत है:-

S.No.	Description of Directive	UPPCL Action
11.3.9.	All procurements made by the Petitioners should be through Competitive Bidding only.	Procurement made by UPPCL is through competitive bidding and as per the directions provide by Hon'ble UPERC from time to time.
11.3.16.	The Commission has noted that the Licensees have successfully completed a pilot implementation of peer to peer (P2P) trading of electricity in rooftop solar energy using Blockchain technology. The Commission directs the Licensees to take the pilot forward to its next phase for integrating it with the existing billing system (ERP)/ financial statement etc. so that P2P rooftop solar energy may become operational for the prosumers and consumers of the State.	The file related to the matter has been escalated to the management of UPPCL for the analysis of the implementation of the technology.
11.3.17.	The Commission encourages the Petitioners to implement a few projects of battery storage. The Licensees are directed to seek inno,ative solutions based on energy storage systems, other innovative technologies to reduce he system losses, provide better services to the consumers etc.	The Bidding for energy storage systems has been floated on 22.10.2022.

o/c

11.3.18.	Provide the details of all the pending cases filed by Petitioners against the Commission in various forums along with the status of the same.	As per attached Enclosure-I & II
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उक्त सूचना आवश्यक कार्यवाही हेतु प्रेषित।


28/10/20
(दीपक रायजादा)
मुख्य अभियन्ता

प्रतिलिपि:-

मुख्य अभियन्ता (आर0ए0यू0), 15 वां तल, शक्ति भवन विस्तार, लखनऊ।

**Power Purchase Agreement Directorate
14th Floor, Shakti Bhawan Extn., Lucknow
(Running Court Cases)**

Petition/ Appeal No. (Date)	Parties (Petitioner Vs Respondents)	Matter	Previous/ Next Date of Hearing	Remarks
I. APTEL				
Appeal No. 22/2018 (11.05.2018)	UPPCL (M/s H.S.A.) Vs. RPSCL (Mr. Venkatesh)	UPPCL filed appeal against UPERC order dt. 22.08.2017	08.03.2022/ Yet to be scheduled.	UPPCL has requested To revise the specific fuel oil consumption for Stage I for year 2009-14 from 2 ml/kwh to 1 ml/kwh To conduct prudence check in respect to the contract award procedure afresh to ensure that contract was awarded at arm's length price. To conduct prudence check on efficient utilization of funds , withdrawn from long term loan, invested in purchase of mutual funds. To determine net undischarged liability of stage 2 for year 2012-13 and 2013-14 by disallowing deduction on account of capital advances. To determine capital cost for stage 1 (Unit 1) after deducting undischarged liability of Stage 1 (Unit 1) for 2009-10. To determine capital cost for stage II (unit 3) after deducting undischarged liability of Stage II (Unit 3) for 2011-12. Case will be heard after final decision in Appeal No. 278 of 2018 in Aptel. These appeals are already included in the "List of Finals" and shall be taken up in their turn. (in progress)
R No. 329 of 2021 191/2022 14.09.2021)	UPPCL (M/s Trilegal) Vs RPSCL (Mr. Venkatesh)	UPPCL has filed Appeal against UPERC order dt. 04.02.2020 in Petition no 1233/2017	<u>13.05.2022/</u> <u>Not</u> <u>scheduled</u>	Regarding Additional Capital Cost for revision of tariff for control period 2014-19 (towards Additional Wagon Tippler and associated facilities). Pleadings are complete in this matter. Admit this appeal shall be included in the 'List of Finals' to be taken up for hearing in its turn from there.

W. J. W.


**Power Purchase Agreement Directorate
14th Floor, Shakti Bhawan Extn., Lucknow
(Running Court Cases)**

Petition/ Appeal No. (Date)	Parties (Petitioner Vs Respondents)	Matter	Previous/ Next Date of Hearing	Remarks
R No. 307 of 2021 198/2022 23.08.2021)	UPPCL (M/s Trilegal) Vs RPSCL (Mr. Venkatesh)	Seeking recovery of interest on working capital claimed by the Respondent against the interest free loan earned from the Petitioner on account of deferred tax liability benefits under the Uttar Pradesh Power Policy, 2003 for the period from 2010-11 to 2018-19.	<u>19.05.2022</u> / Yet not Schedule.	Filed against UPERC order dated 08.03.2021 in petition 1525/2019 to direct and hold that RPSCL was not entitled to recover any interest in form of interest on working capital from UPPCL towards the interest free loan amount recovered in form of Entry Tax and VAT. Pleadings are complete in the matter. We direct this appeal be included in the "List of Finals" to be taken up from there, in its turn.

Dr. Jw

us of Pending Cases filed by UPPCL/UP Discoms against Hon'ble UPERC in various forums

Petition no.	Name of Petitioner	Name of Respondent	Authority/ Court	Description of Petition and order	Current Status of Case
Appeal no 281/2021	UPPCL/UP Discoms	M/s RKM Powergen Ltd	APTEL	Appeal filed against UPERC order dated 26-10-2020 in petition no 1355/2018 for non payment of transmission charges.	Pending
DFR No. 478/2021	UPPCL/UP Discoms	PPGCL	APTEL	To set aside impugned order dated 18-08-2021 in petition no. 1484/2019 for seeking declaration of MoEFCC notification dated 07-12-2015(FGD norms) as Change in Law.	Pending


 28.10.22
 EEC/UM/19-I